

THE STRUCTURE OF α -LIMIT SETS FOR CONTINUOUS MAPS

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ABSTRACT

In this paper we discuss the structure of alpha limit sets of points in a compact space.

KEY WORDS: Transitive map, strongly invariant set, weakly incompressible set, Negative orbit, α -limit set.

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1. INTRODUCTION

Limit sets play an important role in understanding the dynamics of a system. To understand the dynamical properties of a system it is necessary to know the behavior of the trajectories of any point in the space under the iteration of f . For this different tools are used. In this paper we focus on the concept of α -limit sets of points in the space. The aim of this paper is to study the structure of α -limit set of points in a compact space.

2. PRELIMINARIES

Definition 2.1: A dynamical system is an ordered pair (X, f) , where X is a nonempty set and $f : X \rightarrow X$ is a continuous map.

Definition 2.2: A continuous map $f : X \rightarrow X$ is *transitive* if for every pair of open sets U, V in X there exists a positive integer k , such that $f^k(U) \cap V \neq \emptyset$.

Definition 2.3: A set $A \subseteq X$ is *weakly incompressible* if $\overline{f(U)} \cap (A \setminus U) \neq \emptyset$ for any proper nonempty open set, $U \subseteq A$. Equivalently, $D \cap \overline{f(A \setminus D)} \neq \emptyset$ for a closed set D .

Definition 2.4: The set $A \subseteq X$ is *strongly invariant* if $f(A) = A$.

Definition 2.5: The α -limit set of a point x in X is the set of elements y in X for which there exists a sequence of negative integers $\{n_k\}$ tending to $-\infty$ such that $f^{n_k}(x) \rightarrow y$. Equivalently, for a continuous function $f : X \rightarrow X$, the α -limit set of the point x_0 in X with respect to the mapping f is defined as,

$$\alpha(x_0, f) = \bigcap_{n \in \mathbb{N}} \overline{\{f^{-k}(x_0) : k > n\}}.$$

3. RESULTS

We start this section with the following basic result.

Theorem 3.1: If X is a compact space then, for any $x_0 \in X$ the set $A = \alpha(x_0, f)$ is closed, nonempty and strongly invariant.

Proof : By the definition of α -limit set, it is the intersection of closed subsets of X . So it is closed.

Since X is compact, it is a non-empty subset of X . Let $a \in A$. There is a sequence $\{n_k\}$ such that

$$f^{n_k}(x_0) \rightarrow a \text{ as } n_k \rightarrow -\infty. \text{ So, we have } a = \lim_{n_k \rightarrow -\infty} f^{n_k}(x_0) = f\left(\lim_{n_k \rightarrow -\infty} (f^{n_k-1}(x_0))\right) = f(b)$$

where $b = \lim_{n_k \rightarrow -\infty} (f^{n_k-1}(x_0)) \in A$. This gives $a \in f(A)$ and hence $A \subseteq f(A)$.

On the other hand, if $x \in f(A)$ then $x = f(a)$ for some $a \in A$.

As $a \in A$, we have $a = \lim_{n \rightarrow -\infty} f^n(x_0)$ giving $f(a) = f\left(\lim_{n \rightarrow -\infty} (f^n(x_0))\right)$.

Thus $f(a) = \lim_{n_k \rightarrow -\infty} (f^{n_k+1}(x_0))$ giving $f(a) = \lim_{m \rightarrow -\infty} (f^m(x_0))$ so that $x \in A$.

Theorem 3.2: If $X = \alpha(x_0, f)$ for some $x_0 \in X$, then f is transitive.

Proof: Suppose $X = \alpha(x_0, f)$ for some $x_0 \in X$. Let U and V be two nonempty open sets in X .

For some $m, n > 0$, $f^{-m}(x_0) \in U$, $f^{-n}(x_0) \in V$ so that $f^{-n}(f^{-m}(x_0)) \in f^{-n}(U)$.

So, $f^{-m}(y) \in f^{-n}(U)$ where $f^{-n}(x_0) = y$ and hence $y \in f^{m-n}(U)$.

This shows that $f^k(U) \cap V \neq \emptyset$ and so the mapping f is transitive.

Theorem 3.3: If f is an open and transitive mapping, then $X = \overline{Orb^-(x)}$, for some $x \in X$.

Proof: For every $n \in \mathbb{N}$, by the compactness of the space X , it is covered by finitely many open balls of radius $\frac{1}{n}$. Let the family of open sets be $\{U_n\}$. Put $G_k = \bigcup_{n \in \mathbb{N}} f^n(U_k)$.

Then for each k , G_k is an open set and it is dense in X . Since X is compact, by Baire's Category theorem,

$G = \bigcap_{k \in \mathbb{N}} G_k$ is also dense in X . So, there exists $x \in X$ such that $x \in G$. Thus $x \in G_k$ for each k .

This implies for each k , there exists $n \in \mathbb{N}$ such that $x \in f^n(U_k)$.

Hence for some $z \in U_k$, $x = f^n(z)$ which shows that $z \in \{f^{-n}(x)\}$. Thus, $z \in U_k \cap Orb^-(x)$ for every k , proving the theorem.

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Now we are ready to prove an important property of alpha limit sets.

Theorem 3.4: The set $A = \alpha(x_0, f)$ is weakly incompressible.

Proof: Let $M \subset A = \alpha(x_0, f)$ be a nonempty closed set in X such that $M \cap \overline{f(A \setminus M)} = \emptyset$. By the normality of the space X , there exists two open sets U and V such that $M \subset U, \overline{f(A \setminus M)} \subset V$ and $\overline{U} \cap \overline{V} = \emptyset$. So, $f(A \setminus M) \subset V$ and $(A \setminus M) \subset f^{-1}(V)$.

By the continuity of the function f , W is a nonempty open set and $f(\overline{W}) = \overline{f(W)} = \overline{V}$.

So, $f(\overline{W}) \cap \overline{U} = \emptyset$. Since $A \subset W \cup U$, there exists an integer k_0 such that $f^{-n}(x_0) \in W$ for infinitely many $n \geq k_0$ and $f^{-m}(x_0) \in U$ for infinitely many $m \geq k_0$.

This implies that $f^{-n_i}(x_0) \in W, f^{-n_i+1}(x_0) \in U$ for infinitely many $n \geq k_0$.

Hence, there exists a sequence of negative integers $\{n_i\}$ such that $f^{-n_i}(x_0) \in W, f^{-n_i+1}(x_0) \in U$.

Thus, $y = \mathbf{Lt}_{i \rightarrow \infty} (f^{-n_i}(x_0)) \in \overline{W}$ and $f(y) = f\left(\mathbf{Lt}_{i \rightarrow \infty} (f^{-n_i}(x_0))\right) \in \overline{U}$.

Therefore $f(y) \in \overline{U} \cap f(\overline{W})$ which is a contradiction.

Remark 1:

Let X and Y be compact spaces and $g : Y \rightarrow Y, \phi : Y \rightarrow X, \tau : X \rightarrow X$ are continuous functions such that $\phi \circ g = \tau \circ \phi$. Then $\phi \circ g^n = \tau^n \circ \phi$ for all $n > 1$.

Remark 2:

Let X and Y be compact spaces and $g : Y \rightarrow Y, \phi : Y \rightarrow X, \tau : X \rightarrow X$ are continuous functions such that $\phi \circ g = \tau \circ \phi$. If $\phi : Y \rightarrow X, \tau : X \rightarrow X$ are invertible then $\phi \circ g^n = \tau^n \circ \phi$ for all negative integers n .

Using the above remarks we can prove the following theorem.

Theorem 3.5 : Let X and Y be compact spaces and $g : Y \rightarrow Y, \tau : X \rightarrow X, \phi : Y \rightarrow X$ are continuous functions such that $\phi \circ g = \tau \circ \phi$. Then $\alpha(\phi(x), \tau) = \phi(\alpha(x, g))$ for every $x \in Y$.

Proof: Let $y \in \alpha(\phi(x), \tau)$. There exists a sequence $\{n_k\}$ of negative integers such that $y = \lim_{k \rightarrow \infty} \tau^{n_k}(\phi(x))$.

By the above remark, $y = \lim_{k \rightarrow \infty} (\phi \circ g^{n_k})(x)$ giving $y = \lim_{k \rightarrow \infty} \phi(g^{n_k}(x))$. The remaining proof follows by the continuity of the function ϕ .

Conversely, suppose $z \in \phi(\alpha(x, g))$. There is an element $p \in \alpha(x, g)$ such that $z = \phi(p)$.

There exists a decreasing sequence $\{m_k\}$ of negative integers such that $p = \lim_{k \rightarrow \infty} g^{m_k}(x)$.

Now, $\phi(p) = \lim_{k \rightarrow \infty} \phi(g^{m_k}(x)) = \lim_{k \rightarrow \infty} \tau^{m_k}(\phi(x))$ which gives $z \in \alpha(\phi(x), \tau)$.

Theorem 3.6 : $\alpha(x, f) = \bigcup_{j=0}^{n-1} \alpha(f^{-j}(x), f^{-n+j})$, for $x \in X$.

Proof: Let $y \in \alpha(x, f)$. So, $y = \lim_{n \rightarrow \infty} (f^{-n}(x)) = \lim_{n \rightarrow \infty} f^{-n+k}(f^{-k}(x))$

This shows that $y \in \alpha(f^{-k}(x), f^{-n+k})$ and hence $y \in \bigcup_{j=0}^{n-1} \alpha(f^{-j}(x), f^{-n+k})$.

On the other hand, if $z \in \bigcup_{j=0}^{n-1} \alpha(f^{-j}(x), f^{-n+k})$ then $z \in \alpha(f^{-p}(x), f^{-n+p})$ for $0 \leq p \leq n-1$.

This implies $z = \lim_{n \rightarrow \infty} f^{-n+p}(f^{-p}(x))$ giving $z = \lim_{n \rightarrow \infty} (f^{-n}(x))$.

CONCLUSION:

In this paper we established some basic properties of α -limit sets. We proved that the α -limit set is strongly invariant and weakly incompressible. We also proved that for an open, transitive map the entire space is the negative orbit of some point in the space.

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