

## ON THE ZEROS OF POLYNOMIALS

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ABSTRACT. In this paper we consider the problem of finding the estimation of maximum number of zeros in a prescribed region and the results which we obtain generalizes and improves upon some well known results.

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**Keywords:** Zeros of Polynomial, Enestrom-Keakeya theorem, Prescribed Region.

### 1. INTRODUCTION

Eneström-Keakeya [1-2] states that if  $P(z) = \sum_{i=0}^n a_i z^i$  be a polynomial of degree  $n$  such that  $0 < a_0 \leq a_1 \leq \dots \leq a_{n-1} \leq a_n$ , then all the zeros of  $P(z)$  lie in  $|z| \leq 1$ . Some results already proved in literature [6,7], concerning the number of zeros of the polynomial in the region  $|z| \leq \frac{1}{2}$ , the following result is due to Mohammad [3].

**Theorem  $A_1$ .** Let  $P(z) = \sum_{i=0}^n a_i z^i$  be a polynomial of degree  $n$  such that  $0 < a_0 \leq a_1 \leq \dots \leq a_{n-1} \leq a_n$  then the number of zeros of  $P(z)$  in  $|z| \leq \frac{1}{2}$ , does not exceed

$$1 + \frac{1}{\log 2} \log \frac{a_n}{a_0}.$$

Dewan [4] generalized Theorem  $A_1$  to the polynomials with complex coefficients and obtained the following result.

**Theorem  $A_2$ .** Let  $P(z) = \sum_{i=0}^n a_i z^i$  be a polynomial of degree  $n$  with complex coefficients such that

$$|\arg(a_i) - \beta| \leq \alpha \leq \frac{\pi}{2}, \quad i = 0, 1, 2, \dots, n$$

for some real  $\beta$  and  $|a_0| \leq |a_1| \leq \dots \leq |a_{n-1}| \leq |a_n|$  then the number of zeros of  $P(z)$  in  $|z| \leq \frac{1}{2}$  does not exceed

$$\frac{1}{\log 2} \log \frac{|a_n|(\cos \alpha + \sin \alpha + 1) + 2 \sin \alpha \sum_{i=0}^n |a_i|}{|a_0|}.$$

In this paper We want to prove the following results.

**Theorem 1.** Let  $P(z) = \sum_{i=0}^n a_i z^i$  be a polynomial of degree  $n$  with complex coefficients such that

$$|\arg(a_i) - \beta| \leq \alpha \leq \frac{\pi}{2}, \quad i = 0, 1, 2, \dots, n$$

for some real  $\beta$ ,  $a_0 \neq 0$  and  $|a_0| \geq |a_1| \geq \dots \geq |a_{n-1}| \geq |a_n|$  then the number of zeros of  $P(z)$  in  $|z| \leq r$ ,  $0 < r < 1$ , does not exceed

$$\frac{1}{\log \frac{1}{r}} \log \frac{|a_0|(\cos \alpha + \sin \alpha + 1) + 2 \sin \alpha \sum_{i=1}^n |a_i|}{|a_0|}.$$

**Corollary 1.** Let  $P(z) = \sum_{i=0}^n a_i z^i$  be a polynomial of degree  $n$  with complex coefficients such that

$$|\arg(a_i) - \beta| \leq \alpha \leq \frac{\pi}{2}, \quad i = 0, 1, 2, \dots, n$$

for some real  $\beta$ ,  $a_0 \neq 0$  and  $|a_0| \geq |a_1| \geq \dots \geq |a_{n-1}| \geq |a_n|$  then the number of zeros of  $P(z)$  in  $|z| \leq \frac{1}{2}$  does not exceed

$$\frac{1}{\log 2} \log \frac{|a_0|(\cos \alpha + \sin \alpha + 1) + 2 \sin \alpha \sum_{i=1}^n |a_i|}{|a_0|}.$$

**Remark 1.** By taking  $r = \frac{1}{2}$  in Theorem 1, then it reduces to Corollary 1.

**Theorem 2.** Let  $P(z) = \sum_{i=0}^n a_i z^i$  be a polynomial of degree  $n$  with complex coefficients such that

$$|\arg(a_i) - \beta| \leq \alpha \leq \frac{\pi}{2}, \quad i = 0, 1, 2, \dots, n$$

for some real  $\beta$ ,  $a_0 \neq 0$  and  $|a_0| \geq |a_1| \geq \dots \geq |a_{m-1}| \geq |a_m| \leq |a_{m+1}| \leq \dots \leq |a_{n-1}| \leq |a_n|$  then the number of zeros of  $P(z)$  in  $|z| \leq r$ ,  $0 < r < 1$ , does not exceed

$$\frac{1}{\log \frac{1}{r}} \log \frac{(|a_n| + |a_0|)(\cos \alpha + \sin \alpha + 1) + 2 \sin \alpha \sum_{i=1}^{n-1} |a_i| - 2|a_m| \cos \alpha}{|a_0|}.$$

**Corollary 2.** Let  $P(z) = \sum_{i=0}^n a_i z^i$  be a polynomial of degree  $n$  with complex coefficients such that

$$|\arg(a_i) - \beta| \leq \alpha \leq \frac{\pi}{2}, \quad i = 0, 1, 2, \dots, n$$

for some real  $\beta$ ,  $a_0 \neq 0$  and  $|a_0| \geq |a_1| \geq \dots \geq |a_{m-1}| \geq |a_m| \leq |a_{m+1}| \leq \dots \leq |a_{n-1}| \leq |a_n|$  then the number of zeros of  $P(z)$  in  $|z| \leq \frac{1}{2}$ , does not exceed

$$\frac{1}{\log 2} \log \frac{(|a_n| + |a_0|)(\cos \alpha + \sin \alpha + 1) + 2 \sin \alpha \sum_{i=1}^{n-1} |a_i| - 2|a_m| \cos \alpha}{|a_0|}.$$

**Corollary 3.** Let  $P(z) = \sum_{i=0}^n a_i z^i$  be a polynomial of degree  $n$  with complex coefficients such that

$|\arg(a_i)| \leq \frac{\pi}{2}$ ,  $a_0 \neq 0$  and  $|a_0| \geq |a_1| \geq \dots \geq |a_{m-1}| \geq |a_m| \leq |a_{m+1}| \leq \dots \leq |a_{n-1}| \leq |a_n|$

then the number of zeros of  $P(z)$  in  $|z| \leq \frac{1}{2}$ , does not exceed

$$1 + \frac{1}{\log \frac{1}{r}} \log \frac{|a_n| + |a_0| - |a_m|}{|a_0|}.$$

**Remark 2.** (i) By taking  $r = \frac{1}{2}$  in Theorem 2, then it reduces to Corollary 2.

(ii) By taking  $\alpha = \beta = 0$  in Theorem 2, then it reduces to Corollary 3.

**Theorem 3.** Let  $P(z) = \sum_{i=0}^n a_i z^i$  be a polynomial of degree  $n$  with complex coefficients.

If  $\operatorname{Re}(a_i) = \alpha_i$ ,  $\operatorname{Im}(a_i) = \beta_i$  for  $i = 0, 1, 2, \dots, n$  and  $a_0 \neq 0$ ,  $\alpha_0 \geq \alpha_1 \geq \dots \geq \alpha_{n-1} \geq \alpha_n$

then the number of zeros of  $P(z)$  in  $|z| \leq r$ ,  $0 < r < 1$ , does not exceed

$$\frac{1}{\log \frac{1}{r}} \log \frac{|\alpha_0| + \alpha_0 + |\alpha_n| - \alpha_n + 2 \sum_{i=0}^n |\beta_i|}{|a_0|}.$$

**Corollary 4.** Let  $P(z) = \sum_{i=0}^n a_i z^i$  be a polynomial of degree  $n$  with complex coefficients.

If  $Re(a_i) = \alpha_i, Im(a_i) = \beta_i$  for  $i = 0, 1, 2, \dots, n$  and  $\alpha_0 \geq \alpha_1 \geq \dots \geq \alpha_{n-1} \geq \alpha_n > 0$

then the number of zeros of  $P(z)$  in  $|z| \leq r, 0 < r < 1$ , does not exceed

$$1 + \frac{1}{\log \frac{1}{r}} \log \frac{\alpha_0 + \sum_{i=0}^n |\beta_i|}{|a_0|}.$$

**Remark 3.** By taking  $\alpha_n > 0$  in Theorem 3, then it reduces to Corollary 4.

**Theorem 4.** Let  $P(z) = \sum_{i=0}^n a_i z^i$  be a polynomial of degree  $n$  with complex coefficients.

If  $Re(a_i) = \alpha_i, Im(a_i) = \beta_i$  for  $i = 0, 1, 2, \dots, n$  and  $a_0 \neq 0$ ,

$$\alpha_0 \geq \alpha_1 \geq \dots \geq \alpha_{m-1} \geq \alpha_m \leq \alpha_{m+1} \leq \dots \leq \alpha_{n-1} \leq \alpha_n$$

then the number of zeros of  $P(z)$  in  $|z| \leq r, 0 < r < 1$ , does not exceed

$$\frac{1}{\log \frac{1}{r}} \log \frac{|\alpha_0| + \alpha_0 - 2\alpha_m + |\alpha_n| + \alpha_n + 2 \sum_{i=0}^n |\beta_i|}{|a_0|}.$$

**Corollary 5.** Let  $P(z) = \sum_{i=0}^n a_i z^i$  be a polynomial of degree  $n$  with complex coefficients.

If  $Re(a_i) = \alpha_i > 0, Im(a_i) = \beta_i$  for  $i = 0, 1, 2, \dots, n$  and

$$\alpha_0 \geq \alpha_1 \geq \dots \geq \alpha_{m-1} \geq \alpha_m \leq \alpha_{m+1} \leq \dots \leq \alpha_{n-1} \leq \alpha_n$$

then the number of zeros of  $P(z)$  in  $|z| \leq r, 0 < r < 1$ , does not exceed

$$1 + \frac{1}{\log \frac{1}{r}} \log \frac{\alpha_0 - \alpha_m + \alpha_n + \sum_{i=0}^n |\beta_i|}{|a_0|}.$$

**Corollary 6.** Let  $P(z) = \sum_{i=0}^n \alpha_i z^i$  be a polynomial of degree  $n$  with real coefficients.

$\alpha_0 \neq 0$ ,

$$\alpha_0 \geq \alpha_1 \geq \dots \geq \alpha_{m-1} \geq \alpha_m \leq \alpha_{m+1} \leq \dots \leq \alpha_{n-1} \leq \alpha_n$$

then the number of zeros of  $P(z)$  in  $|z| \leq r, 0 < r < 1$ , does not exceed

$$\frac{1}{\log \frac{1}{r}} \log \frac{|\alpha_0| + \alpha_0 - 2\alpha_m + |\alpha_n| + \alpha_n}{|a_0|}.$$

**Remark 4.** (i) By taking  $\alpha_i > 0$  for  $i = 0, 1, 2, \dots, n$  in Theorem 4, then it reduces to Corollary 5.

(ii) By taking  $\beta_i = 0$  for  $i = 0, 1, 2, \dots, n$  in Theorem 4, then it reduces to Corollary 6.

We need the following lemmas for proof of the theorems.

## 2. LEMMAS

**Lemma 1.** Let  $P(z) = \sum_{i=0}^n a_i z^i$  be a polynomial of degree  $n$  with complex coefficients such that

$$|\arg(a_i) - \beta| \leq \alpha \leq \frac{\pi}{2}; |a_{i-1}| \leq |a_i| \text{ for some } i = 0, 1, 2, \dots, n$$

then  $|a_i - a_{i-1}| \leq (|a_i| - |a_{i-1}|)\cos\alpha + (|a_i| + |a_{i-1}|)\sin\alpha$ .

The above lemma is due to Govil [5].

**Lemma 2.** [4]: If  $f(z)$  is regular  $f(0) \neq 0$  and  $f(z) \leq M$  in  $|z| \leq 1$  then the number of zeros of  $f(z)$  in  $|z| \leq r, 0 < r < 1$  does not exceed  $\frac{1}{\log \frac{1}{r}} \log \frac{M}{|f(0)|}$ .

3. PROOF OF THE THEOREMS

**Proof of Theorem 1.**

Let  $P(z) = a_n z^n + a_{n-1} z^{n-1} + \dots + a_1 z + a_0$  be a polynomial of degree  $n$

Then consider the polynomial  $Q(z) = (1 - z)P(z)$  so that

$Q(z) = -a_n z^{n+1} + (a_n - a_{n-1})z^n + \dots + (a_1 - a_0)z + a_0$ . Then for  $|z| > 1$ , we have

$$\begin{aligned} Q(z) &\leq |a_n| + \sum_{i=1}^n |a_i - a_{i-1}| + |a_0| \\ &\leq |a_n| + \sum_{i=1}^n (|a_{i-1}| - |a_i|) \cos \alpha + \sum_{i=1}^n (|a_{i-1}| + |a_i|) \sin \alpha + |a_0| \text{ (by using lemma 1)} \\ &= |a_0|(\cos \alpha + \sin \alpha + 1) + 2 \sin \alpha \sum_{i=1}^n |a_i| - |a_n|(\cos \alpha + \sin \alpha - 1) \\ &\leq |a_0|(\cos \alpha + \sin \alpha + 1) + 2 \sin \alpha \sum_{i=1}^n |a_i| \end{aligned}$$

Apply Lemma 2 to  $Q(z)$ , we get that the number of zeros of  $Q(z)$  in  $|z| \leq r$  does not exceed

$$\frac{1}{\log \frac{1}{r}} \log \frac{|a_0|(\cos \alpha + \sin \alpha + 1) + 2 \sin \alpha \sum_{i=1}^n |a_i|}{|a_0|}.$$

Since all the number of zeros of  $P(z)$  in  $|z| \leq r$ ,  $0 < r < 1$ , is also equal to the number of zeros of  $Q(z)$  in  $|z| \leq r$ , we get the required result.

This completes the proof of Theorem 1.

**Proof of Theorem 2.**

Let  $P(z) = a_n z^n + a_{n-1} z^{n-1} + \dots + a_1 z + a_0$  be a polynomial of degree  $n$

Then consider the polynomial  $Q(z) = (1 - z)P(z)$  so that

$Q(z) = -a_n z^{n+1} + (a_n - a_{n-1})z^n + \dots + (a_1 - a_0)z + a_0$ . Then for  $|z| > 1$ , we have

$$\begin{aligned} Q(z) &\leq |a_0| + \sum_{i=1}^m |a_i - a_{i-1}| + \sum_{i=m+1}^n |a_i - a_{i-1}| + |a_n| \\ &\leq |a_0| + \sum_{i=1}^m (|a_{i-1}| - |a_i|) \cos \alpha + \sum_{i=1}^m (|a_{i-1}| + |a_i|) \sin \alpha + \\ &\sum_{i=m+1}^n (|a_i| - |a_{i-1}|) \cos \alpha + \sum_{i=m+1}^n (|a_i| + |a_{i-1}|) \sin \alpha + |a_n| \text{ (by using lemma 1)} \\ &= (|a_n| + |a_0|)(\cos \alpha + \sin \alpha + 1) + 2 \sin \alpha \sum_{i=1}^{n-1} |a_i| - 2|a_m| \cos \alpha \end{aligned}$$

Apply Lemma 2 to  $Q(z)$ , we get that the number of zeros of  $Q(z)$  in  $|z| \leq r$  does not exceed

$$\frac{1}{\log \frac{1}{r}} \log \frac{(|a_n| + |a_0|)(\cos \alpha + \sin \alpha + 1) + 2 \sin \alpha \sum_{i=1}^{n-1} |a_i| - 2|a_m| \cos \alpha}{|a_0|}.$$

Since all the number of zeros of  $P(z)$  in  $|z| \leq r$ ,  $0 < r < 1$ , is also equal to the number of zeros of  $Q(z)$  in  $|z| \leq r$ , we get the required result.

This completes the proof of Theorem 2.

**Proof of Theorem 3.**

Let  $P(z) = a_n z^n + a_{n-1} z^{n-1} + \dots + a_1 z + a_0$  be a polynomial of degree  $n$

Then consider the polynomial  $Q(z) = (1 - z)P(z)$  so that

$Q(z) = -a_n z^{n+1} + (a_n - a_{n-1})z^n + \dots + (a_1 - a_0)z + a_0$ . Then for  $|z| > 1$ , we have

$$\begin{aligned} Q(z) &\leq |a_n| + \sum_{i=1}^n |a_i - a_{i-1}| + |a_0| \\ &\leq |\alpha_n| + |\beta_n| + \sum_{i=1}^n [ (|\alpha_i - \alpha_{i-1}|) + (|\beta_i - \beta_{i-1}|) ] + |\alpha_0| + |\beta_0| \\ &\leq |\alpha_n| + |\beta_n| + \sum_{i=1}^n (\alpha_{i-1} - \alpha_i) + \sum_{i=1}^n (|\beta_i| + |\beta_{i-1}|) + |\alpha_0| + |\beta_0| \end{aligned}$$

$$\leq |\alpha_0| + \alpha_0 + |\alpha_n| - \alpha_n + 2 \sum_{i=0}^n |\beta_i|$$

Apply Lemma 2 to  $Q(z)$ , we get that the number of zeros of  $Q(z)$  in  $|z| \leq r$  does not exceed

$$\frac{1}{\log \frac{1}{r}} \log \frac{|\alpha_0| + \alpha_0 + |\alpha_n| - \alpha_n + 2 \sum_{i=0}^n |\beta_i|}{|a_0|}.$$

Since all the number of zeros of  $P(z)$  in  $|z| \leq r$ ,  $0 < r < 1$ , is also equal to the number of zeros of  $Q(z)$  in  $|z| \leq r$ , we get the required result.

This completes the proof of Theorem 3.

**Proof of Theorem 4.**

Let  $P(z) = a_n z^n + a_{n-1} z^{n-1} + \dots + a_1 z + a_0$  be a polynomial of degree  $n$

Then consider the polynomial  $Q(z) = (1 - z)P(z)$  so that

$Q(z) = -a_n z^{n+1} + (a_n - a_{n-1})z^n + \dots + (a_1 - a_0)z + a_0$ . Then for  $|z| > 1$ , we have

$$\begin{aligned} Q(z) &\leq |a_n| + \sum_{i=1}^n |a_i - a_{i-1}| + |a_0| \\ &\leq |\alpha_n| + |\beta_n| + \sum_{i=1}^n [ (|\alpha_i - \alpha_{i-1}|) + (|\beta_i - \beta_{i-1}|) ] + |\alpha_0| + |\beta_0| \\ &\leq |\alpha_n| + |\beta_n| + \sum_{i=1}^m (\alpha_{i-1} - \alpha_i) + \sum_{i=m+1}^n (\alpha_i - \alpha_{i-1}) + \sum_{i=1}^n (|\beta_i| + |\beta_{i-1}|) + |\alpha_0| + |\beta_0| \\ &\leq |\alpha_0| + \alpha_0 - 2\alpha_m + |\alpha_n| + \alpha_n + 2 \sum_{i=0}^n |\beta_i| \end{aligned}$$

Apply Lemma 2 to  $Q(z)$ , we get that the number of zeros of  $Q(z)$  in  $|z| \leq r$  does not exceed

$$\frac{1}{\log \frac{1}{r}} \log \frac{|\alpha_0| + \alpha_0 - 2\alpha_m + |\alpha_n| + \alpha_n + 2 \sum_{i=0}^n |\beta_i|}{|a_0|}.$$

Since all the number of zeros of  $P(z)$  in  $|z| \leq r$ ,  $0 < r < 1$ , is also equal to the number of zeros of  $Q(z)$  in  $|z| \leq r$ , we get the required result.

This completes the proof of Theorem 4.

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